

# Radiative Equilibrium



GREY ATMOSPHERE  
THERMAL (RADIATIVE) EQUILIBRIUM  
THE DEPTH DEPENDENCE OF THE SOURCE FUNCTION  
EDDINGTON APPROXIMATION  
TEMPERATURE STRUCTURE OF THE GREY ATMOSPHERE

# Grey atmosphere

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- Above we assumed that the opacity can be independent of  $\lambda$ , i.e.  $\kappa_\lambda = \kappa$ . We call such a (hypothetical) grey atmosphere.
- In the theory of stellar atmospheres, much of the technical effort goes into iteration schemes using equations of radiative equilibrium (which we will discuss today) to find the source function  $S_\lambda$ .
- Often, a starting point for such iterations is the **grey** case.

# Thermal (radiative) equilibrium

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- In stellar atmospheres, radiation dominates transfer of energy, so we can discuss (three) conditions of radiative equilibrium, which can be used to derive the temperature structure in the photosphere.
- The radiation we see from the Sun comes from a layer of geometrical height of a few hundred km.
- In a column of 100 km height and  $1 \text{ cm}^2$  cross-section there are  $10^{24}$  particles (since  $n \sim 10^{17}/\text{cm}^3$  in Sun), each of which has a thermal energy of  $3kT/2$  ( $10^{-12}$  erg). The total thermal energy of this column is therefore  $10^{12}$  erg/cm<sup>2</sup>. The observed radiative energy loss (per cm<sup>2</sup>) of the solar surface is  $F_{\odot} = 6.3 \times 10^{10} \text{ erg cm}^{-2} \text{ s}^{-1}$ .
- If the Sun shines at a constant rate, the energy content of the solar photosphere can only last for 15 seconds without being replenished from below.
- Exactly the same amount of energy must be supplied or else the photosphere would quickly change temperature.

# First equation of radiative equilibrium

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- Since this does **not** happen,  $dF/dt=0$  or  $dF/dx=0$  or  $dF/d\tau=0$ , i.e. the total flux must be constant at all depths of the photosphere (**conservation of energy**) – the **1<sup>st</sup> equation of radiative equilibrium**

$$F(x) = F(0) = \text{const} = \sigma T_{eff}^4$$

- When all the energy is carried by radiation, we have

$$F(x) = \int_0^{\infty} F_{\lambda}(\tau_{\lambda}) d\lambda = F(0)$$

Although the shape of  $F_{\lambda}$  can be expected to change very significantly with depth, its integral remains invariant.

- If other sources of energy transport are significant, then a more general expression of flux constancy must be applied:

$$\Phi(x) + \int_0^{\infty} F_{\lambda}(\tau_{\lambda}) d\lambda = F(0)$$

$\Phi(x)$  is, for example, the convective flux

# Radiative equilibrium

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- We may integrate the plane-parallel transfer equation over solid angle  $\omega$ .

$$\int \cos \theta \frac{dI_\lambda(\tau_\lambda, \theta)}{d\tau_\lambda} d\omega = \int I_\lambda(\tau_\lambda, \theta) d\omega - \int S_\lambda(\tau_\lambda) d\omega$$
$$\frac{d}{d\tau_\lambda} [F_\lambda(\tau_\lambda)] = 4\pi [J_\lambda(\tau_\lambda)] - \int S_\lambda(\tau_\lambda) d\omega$$

Based on the definition of mean intensity and flux:

$$J_\lambda = \frac{1}{4\pi} \oint I_\lambda d\omega \quad \text{and} \quad F_\lambda = \oint I_\lambda \cos \theta d\omega$$

- Finally, assuming  $S_\lambda$  to be isotropic we obtain,

$$\frac{1}{4\pi} \frac{d}{d\tau_\lambda} [F_\lambda(\tau_\lambda)] = J_\lambda(\tau_\lambda) - S_\lambda(\tau_\lambda)$$

# Second equation of radiative equilibrium

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- In the **grey** case, for which the opacity  $\kappa$  is independent of wavelength

$$\frac{1}{4\pi} \frac{d}{d\tau} F(\tau) = -S(\tau) + J(\tau) = 0$$

Since  $dF/d\tau=0$ , **the Source function must be equal the mean intensity  $J$ .**

- If the atmosphere is **not grey**, which is the situation for most stars, let's incorporate the opacity  $\kappa$  into the RHS, and integrating over wavelength

$$\frac{1}{4\pi\rho} \frac{d}{ds} \left[ \int_0^\infty F(\tau_\lambda) d\lambda \right] = \int_0^\infty (-\kappa_\lambda S_\lambda + \kappa_\lambda J_\lambda) d\lambda = 0$$

$$\tau_\lambda = \int_0^s \kappa_\lambda \rho ds$$

Since  $dF/ds=0$ , we get the **radiative balance equation (energy conservation)**

$$\int_0^\infty \kappa_\lambda S_\lambda d\lambda = \int_0^\infty \kappa_\lambda J_\lambda d\lambda$$

- This is **the second equation of radiative equilibrium** and can be understood as the **total energy absorbed** (RHS) must equal the **total energy re-emitted** (LHS) if no heating or cooling is taking place.

# Third equation of radiative equilibrium

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The third radiative equilibrium condition is obtained by multiplying the transfer equation by  $\cos \theta$  and integrating over solid angle and then wavelength

$$\cos \theta \frac{dI_\lambda(\theta)}{d\tau_\lambda} = I_\lambda(\theta) - S_\lambda$$

$$\oint \cos^2 \theta \frac{dI_\lambda(\tau_\lambda, \theta)}{d\tau_\lambda} d\omega = \oint \cos \theta I_\lambda(\tau_\lambda, \theta) d\omega - \oint \cos \theta S_\lambda(\tau_\lambda, \theta) d\omega$$

$$K_\lambda(\tau_\lambda) = \frac{1}{4\pi} \oint I_\lambda \cos^2 \theta d\omega$$

$$F_\lambda = \oint I_\lambda \cos \theta d\omega$$

**0** ( $S_\lambda$  is isotropic)

$$4\pi \int \frac{dK_\lambda}{d\tau_\lambda} d\lambda = \int F_\lambda d\lambda = F(\tau)$$

**The third radiative equilibrium condition:**

$$\int_0^\infty \frac{dK_\lambda}{d\tau_\lambda} d\lambda = \frac{F(\tau)}{4\pi}$$

# Equations of radiative equilibrium

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- All the three radiative equilibrium conditions are not independent.  $S_\lambda$  that is a solution of one will be the solution of all three.
- The flux constant  $F(0)$  is often expressed in terms of an effective temperature  $F(0) = \sigma T_{eff}^4$ .
- When model photospheres are constructed using flux constancy as a condition to be fulfilled by the model, **the effective temperature becomes one of the fundamental parameters** characterizing the model.
- In real stars, energy is created or lost from the radiation field through e.g. convection, magnetic fields, plus in supernovae atmospheres energy conservation **is not valid** (radioactive decay of Ni to Fe), so **the energy constraints are more complicated** in reality.

# Recap: Equations of radiative equilibrium

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- **The 1<sup>st</sup> equation of radiative equilibrium:**

$$F(x) = F(0) = \text{const} = \sigma T_{eff}^4$$

i.e. the total flux must be constant at all depths of the photosphere (**conservation of energy**):

$$dF/dt=0 \text{ or } dF/dx=0 \text{ or } dF/d\tau=0$$

- **The 2<sup>nd</sup> equation of radiative equilibrium:**

the **total energy absorbed** (RHS) must equal the **total energy re-emitted** (LHS) if no heating or cooling is taking place:

$$\int_0^{\infty} \kappa_{\lambda} S_{\lambda} d\lambda = \int_0^{\infty} \kappa_{\lambda} J_{\lambda} d\lambda$$

- **The 3<sup>rd</sup> radiative equilibrium condition:**

$$\int_0^{\infty} \frac{dK_{\lambda}}{d\tau_{\lambda}} d\lambda = \frac{F(\tau)}{4\pi}$$

- All the three radiative equilibrium conditions are not independent.  
 $S_{\lambda}$  that is a solution of one will be the solution of all three.

# The depth dependence of the source function

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- In a grey atmosphere, with  $K(\tau) = \int_0^\infty K_\lambda d\lambda$ , the 3<sup>rd</sup> equation implies:

a new unknown function  $K(\tau)$

$$\frac{dK(\tau)}{d\tau} = \frac{F(\tau)}{4\pi}$$

- We can differentiate this, and insert our earlier result:

$$\frac{d^2K(\tau)}{d\tau^2} = \frac{1}{4\pi} \frac{dF(\tau)}{d\tau} = J(\tau) - S(\tau) = 0 \quad [1]$$

- Integration of the equation with respect to  $\tau$  gives  $K(\tau) = c_1\tau + c_2$  where  $dK/d\tau = c_1 = F/4\pi$  [2]

- For a given  $F$ , we now have two equations, [1] and [2], to determine the three unknowns:  $J$ ,  $S$  and  $K$  (or  $c_2$ ). We need an additional relation between two of these variables in order to determine all three.

# Eddington approximation (1)

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- Previously we have seen that for the determination of the flux **the anisotropy in the radiation field is very important** because in the flux integral the inward-going intensities are subtracted from the outward-going ones, due to the factor  $\cos\theta$ .
- But for  $K$ , a small anisotropy is unimportant because the intensities are multiplied by the factor  $\cos^2\theta$ , which does **not** change sign for inward and outward radiation.
- To evaluate  $K$  or  $c_2$ , we can approximate the radiation field by an isotropic radiation field of the mean intensity  $J$ :  $I = J$  (**by definition**). From the definition of  $K_\lambda$  we obtain

$$4\pi K_\lambda = \oint I_\lambda(\tau_\lambda, \theta) \cos^2 \theta d\omega = J_\lambda(\tau_\lambda) \oint \cos^2 \theta d\omega = \frac{4\pi}{3} J_\lambda(\tau_\lambda)$$

or after division by  $4\pi$ ,

$$K_\lambda(\tau_\lambda) = \frac{1}{3} J_\lambda(\tau_\lambda)$$

$$d\omega = \sin\theta d\theta d\varphi$$

This approximation for the  $K$ -function is known as the **Eddington approximation**.

# Eddington approximation (2)

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- Inserting the Eddington approximation into the above equation we find  $\frac{dK(\tau)}{d\tau} = \frac{F(\tau)}{4\pi}$

$$\frac{dK(\tau)}{d\tau} = \frac{1}{3} \frac{dJ(\tau)}{d\tau} = \frac{F(\tau)}{4\pi} = c_1 \qquad \frac{dJ(\tau)}{d\tau} = \frac{3}{4\pi} F(\tau)$$

- Since the mean intensity  $J$  equals the source function  $S$  in a grey atmosphere, integrating the latter result we obtain

$$S(\tau) = \frac{3}{4\pi} \tau F(0) + C = J(\tau) \qquad F(x) = F(0) = \text{const}$$

- From the conditions of radiative equilibrium, we finally obtained **the law for the depth dependence of the source function** (for a grey atmosphere assuming the Eddington approximation). We can evaluate  $C$  using boundary condition for the known emerging flux (there is no flux going into the star), plus we assume the outward intensity does not depend upon  $\theta$ :

# Eddington approximation (3)

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- Boundary condition: there is no flux going into the star,  
i.e.  $I(0, \theta) = I^- = 0$  for  $\pi/2 < \theta < \pi$
- We also assume that the outward intensity does not depend upon  $\theta$ ,  
i.e.  $I(0, \theta) = I^+ = \text{const}$  for  $0 < \theta < \pi/2$

$$J_\lambda = \frac{1}{4\pi} \oint I_\lambda d\omega \quad \text{and} \quad F_\lambda = \oint I_\lambda \cos \theta d\omega$$

$$d\omega = \sin \theta d\theta d\phi$$

- It gives  $J(0) = \frac{1}{2} I^+ = \frac{1}{2\pi} F(0)$

$$S(\tau) = \frac{3}{4\pi} \tau F(0) + C = J(\tau)$$

- Hence  $C = J(0) = F(0)/2\pi$  so:

$$S(\tau) = \frac{1}{\pi} \left( \frac{3}{4} \tau + \frac{1}{2} \right) F(0)$$

$$S(\tau) = \frac{3}{4\pi} \left( \tau + \frac{2}{3} \right) F(0)$$

- To find the depth dependence of  $T$ , we also need to assume **LTE**.

# Temperature structure of the grey atmosphere

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In LTE, the source function is the Planck function,  $S(\tau) = B(\tau) = \sigma T^4 / \pi$

$$B(\tau) = \frac{\sigma}{\pi} T^4(\tau) = \frac{3}{4\pi} \left(\tau + \frac{2}{3}\right) F(0)$$

$$S(\tau) = \frac{3}{4\pi} \left(\tau + \frac{2}{3}\right) F(0)$$

Recall that  $F(0) = \sigma T_{\text{eff}}^4$ , by definition, so

$$\frac{1}{\pi} \sigma T^4(\tau) = \frac{3}{4\pi} \left(\tau + \frac{2}{3}\right) \sigma T_{\text{eff}}^4$$

or

$$T^4(\tau) = \frac{3}{4} \left(\tau + \frac{2}{3}\right) T_{\text{eff}}^4$$

We derived the **temperature dependence on optical depth**.

Note  $T(\tau = 2/3) = T_{\text{eff}}$  as we obtained earlier, and  $T^4(\tau = 0) = T_{\text{eff}}^4 / 2$

A complete solution of the **grey** case, using **accurate** boundary conditions, without **Eddington** approximation, leads to a solution only slightly different from this, usually expressed as

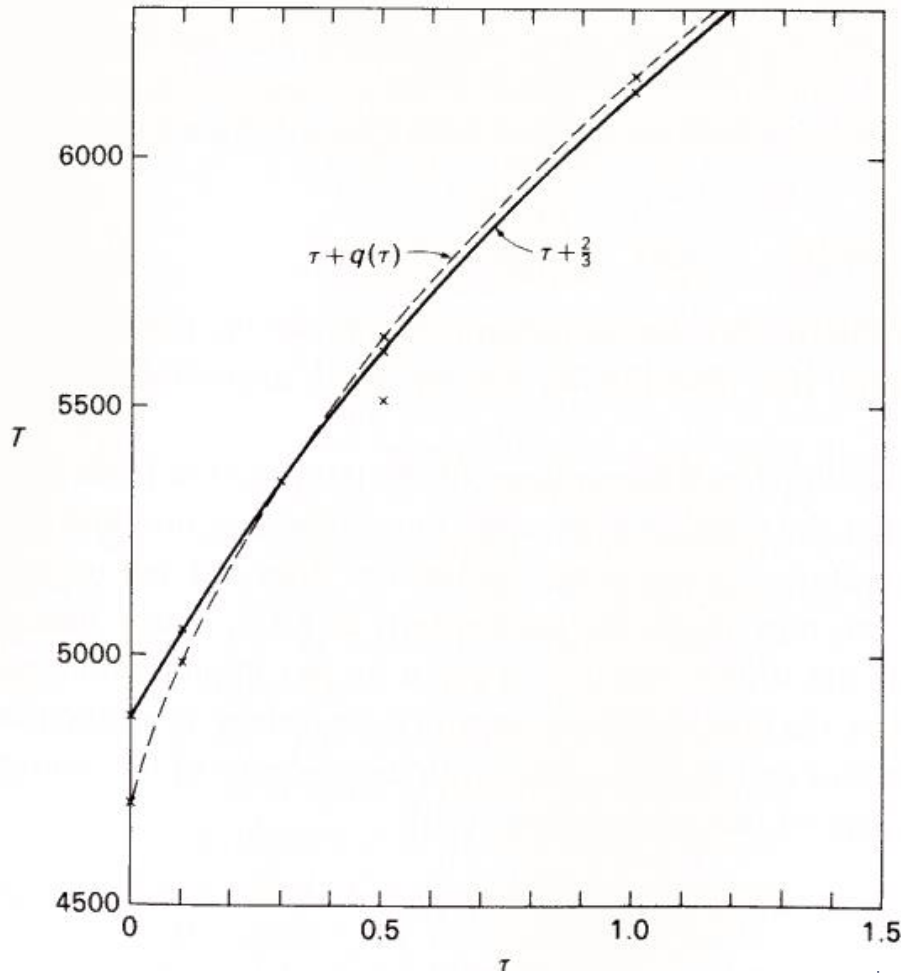
$$T^4(\tau) = \frac{3}{4} [\tau + q(\tau)] T_{\text{eff}}^4$$

Here  $q(\tau)$  is a slowly varying function (**Hopf function**), with

$$q = 1/\sqrt{3} = 0.577 \text{ at } \tau = 0 \text{ to } q = 0.710 \text{ at } \tau = \infty.$$

# Grey Temperature Structure

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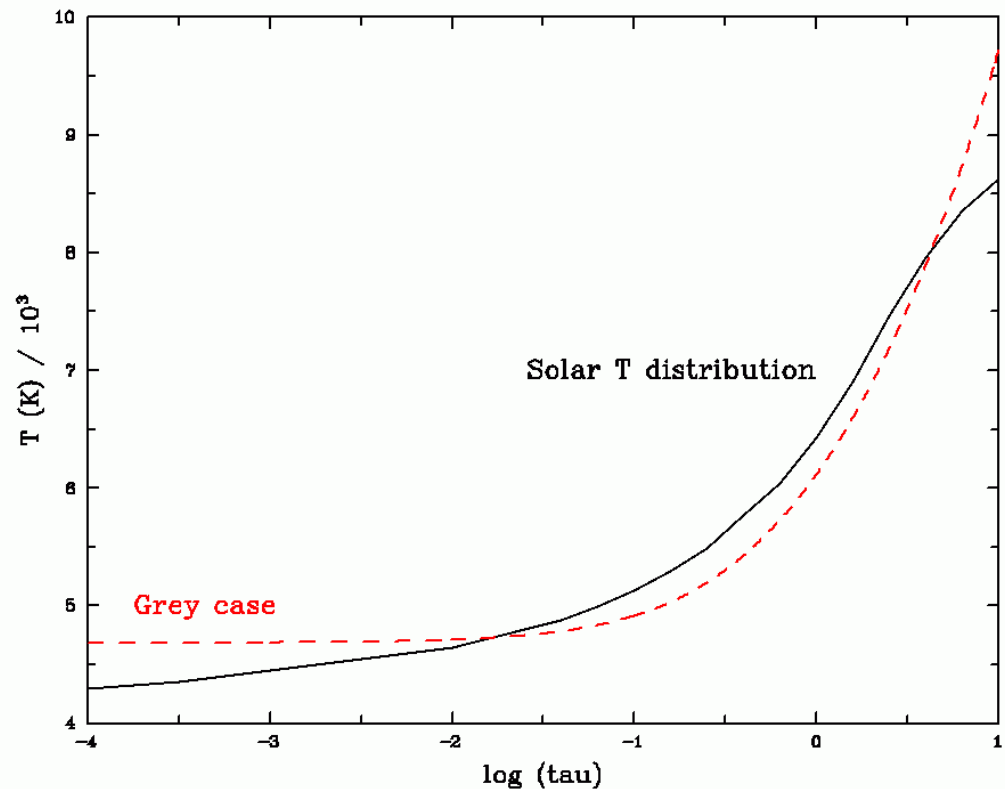
Comparison between  $T(\tau)$  in the Solar atmosphere using the simplifying **Eddington assumption** (solid) versus the **exact grey case** (dashed) using the Hopf function,  $q(\tau)$ :

$$q(\tau) \approx 0.710 - 0.133e^{-2\tau}$$

# How realistic is this?

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- How good an approximate is the **grey** atmosphere? Next we must look at the frequency dependence of the sources of opacity.
- The **grey** temperature distribution is shown here versus the observed Solar temperature distribution as a function of optical depth  $\tau$  at  $5000\text{\AA}$  (D. Gray, Table 9.2)
- The poor match is because the opacity is **wavelength dependent**, as we shall see next lectures.



# Summary

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- **Three equations of radiative equilibrium** can be derived:
  - (a) constant flux with depth;
  - (b) energy absorbed equals energy emitted;
  - (c) the  $K$ -integral is linear in  $\tau$ .
- From these, the **grey** temperature distribution  $T(\tau)$  may be derived, assuming:
  - (a) the **Eddington approximation** and
  - (b) **LTE**, in reasonable agreement with the exact case.
- On the next lectures, we will discuss LTE in more detail.

# Local Thermodynamic Equilibrium (LTE)



MAXWELLIAN VELOCITY DISTRIBUTION  
BOLTZMANN EQUATION  
SAHA EQUATION

# Thermodynamic Equilibrium (TE)

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- Interaction of radiation and matter is the most important physical process in stellar atmospheres.
- To find  $I_\lambda$  we need to know  $\alpha_\lambda$  and  $\varepsilon_\lambda$  (or  $k_\lambda$  and  $j_\lambda$ ) – absorption and emission coefficients.
- To find  $\alpha_\lambda$  and  $\varepsilon_\lambda$ , density  $\rho$ , temperature  $T$ , and chemical composition  $X$  are **not** enough. We need to know **distributions of atoms over levels and ionization states**, which depend on radiation  $I_\lambda$ .
- In TE,  $\rho$ ,  $T$ , and  $X$  fully determine  $\alpha_\lambda$  and  $\varepsilon_\lambda$ .

# Local Thermodynamic Equilibrium

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In **Thermodynamic Equilibrium**:

1. All particles have **Maxwellian** distribution in velocities (with the same temperature  $T$ ).
2. Atom populations follow **Boltzmann** law ( same  $T$  ).
3. Ionization is described by **Saha** formula ( same  $T$  ).
4. Radiation intensity is given by the **Planck** function ( same  $T$  ).
5. The principle of detailed equilibrium is valid (the number of direct processes = number of inverse processes).

In **Local thermodynamic equilibrium (LTE)**,  
1-3 are applied **locally**.

The radiation spectrum can in principle be very far from  
Planck function.

# LTE

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In the study of stellar atmospheres, the assumption of Local Thermodynamic Equilibrium (**LTE**) is described by:

1. Electron and ion velocity distributions are **Maxwellian**.
2. Excitation equilibrium is given by **Boltzmann** equation (introduced today).
3. Ionization equilibrium is given by **Saha** equation (introduced today).
4. The source function is **given** by the **Planck** function

$$S_\lambda = I_\lambda = B_\lambda(T) \quad \text{i.e. Kirchoff's law} \quad j_\lambda = \kappa_\lambda B_\lambda(T)$$

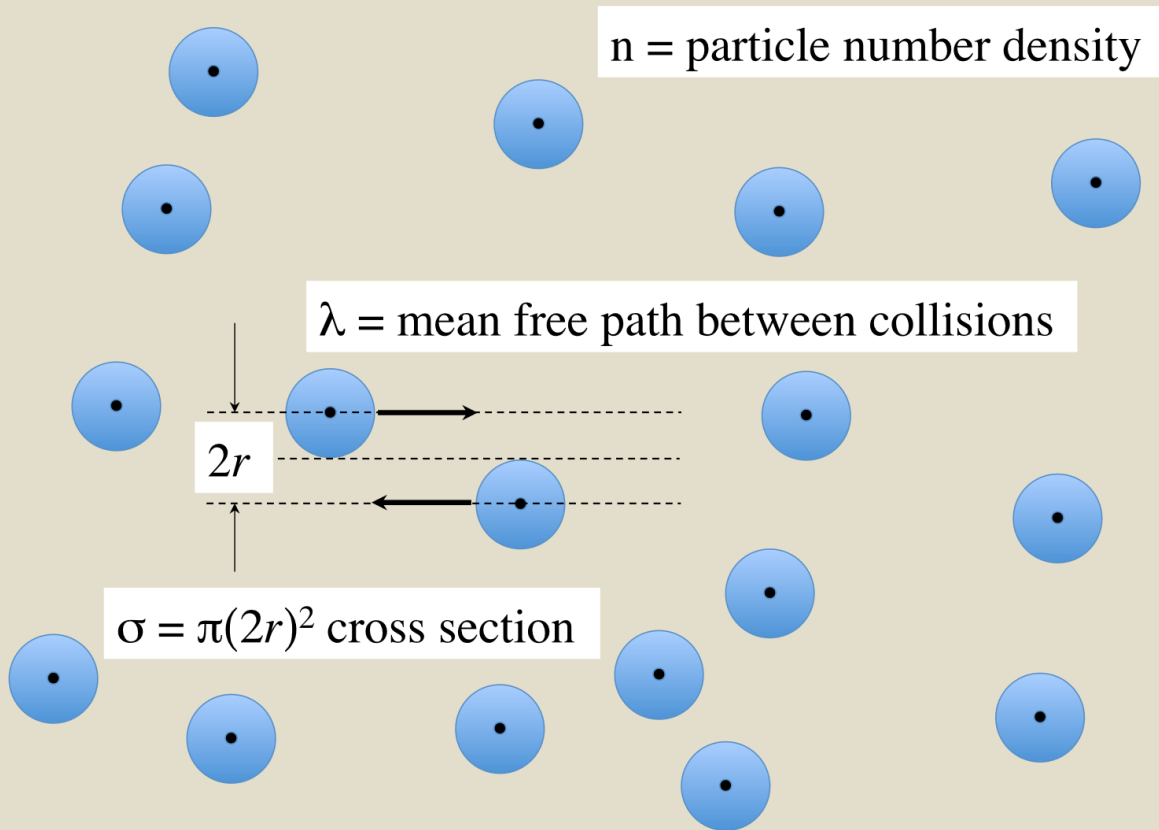
# Is LTE a valid assumption?

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- For LTE to be valid, the photon and particle **mean free paths** need to be much smaller than the length scale over which these temperature changes significantly.
- Radiation cannot play a role in defining atom populations and ionization state. Collisions should dominate.
- Generally, **when collisional processes dominate over radiative processes in the excitation and ionization of atoms, the state of the gas is close to LTE.**
- Consequently, **LTE is a good assumption in stellar interiors, but may break down in the atmosphere.** If LTE is no longer valid, all processes need to be calculated in detail via **non-LTE**. This is much more complicated, but needs to be considered in some cases (see later in course).

# Mean Free Path

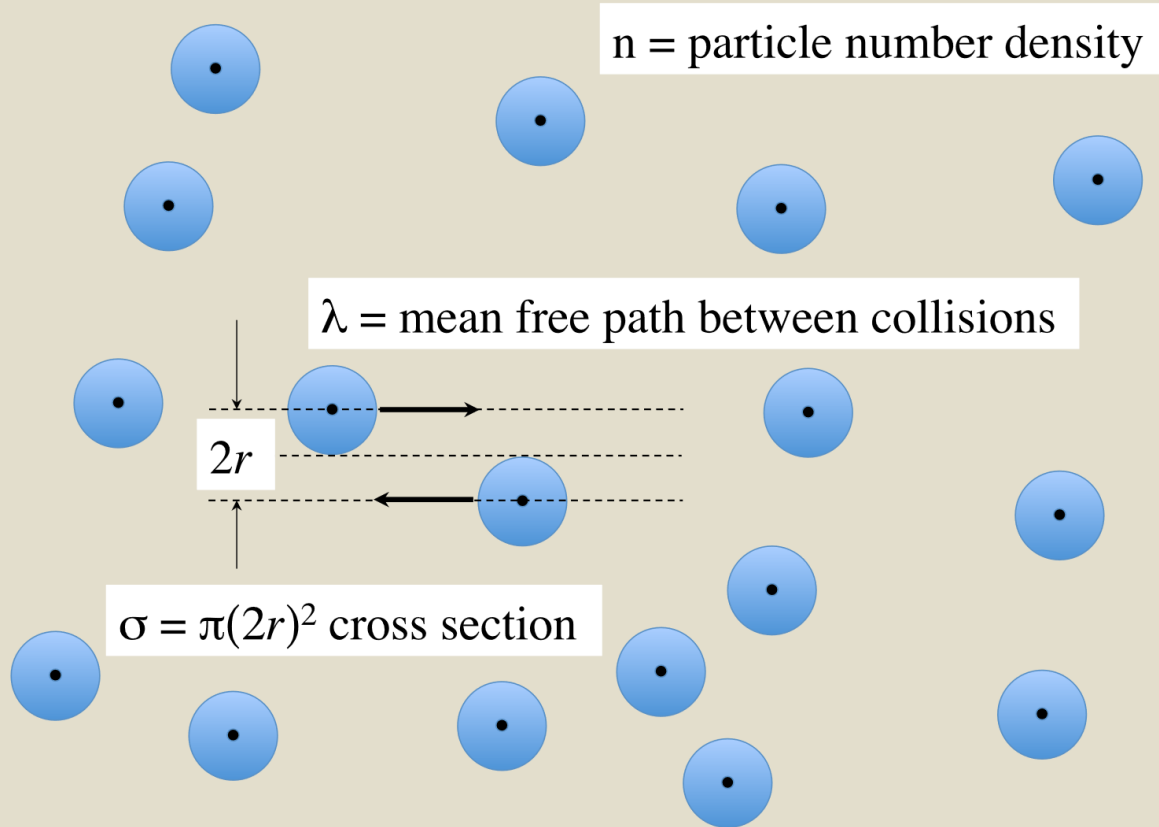
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- In the Sun, the characteristic distance over which the temperature varies (the temperature scale height) is  $\sim 500\text{km}$ .
- **How does this scale compare with the average distance travelled by an atom before hitting another atom?**
- Two hydrogen atoms will collide if their centres pass within a radius of 2 Bohr radii ( $2a_0$ ) of each other. The collision cross-section of the H atom is  $\sigma = \pi(2a_0)^2 = 3.5 \times 10^{-16} \text{ cm}^2$ .
- The mean free path between collisions is  $\lambda = 1/(\sigma n(\text{H}))$ .

# Mean free path in the solar photosphere

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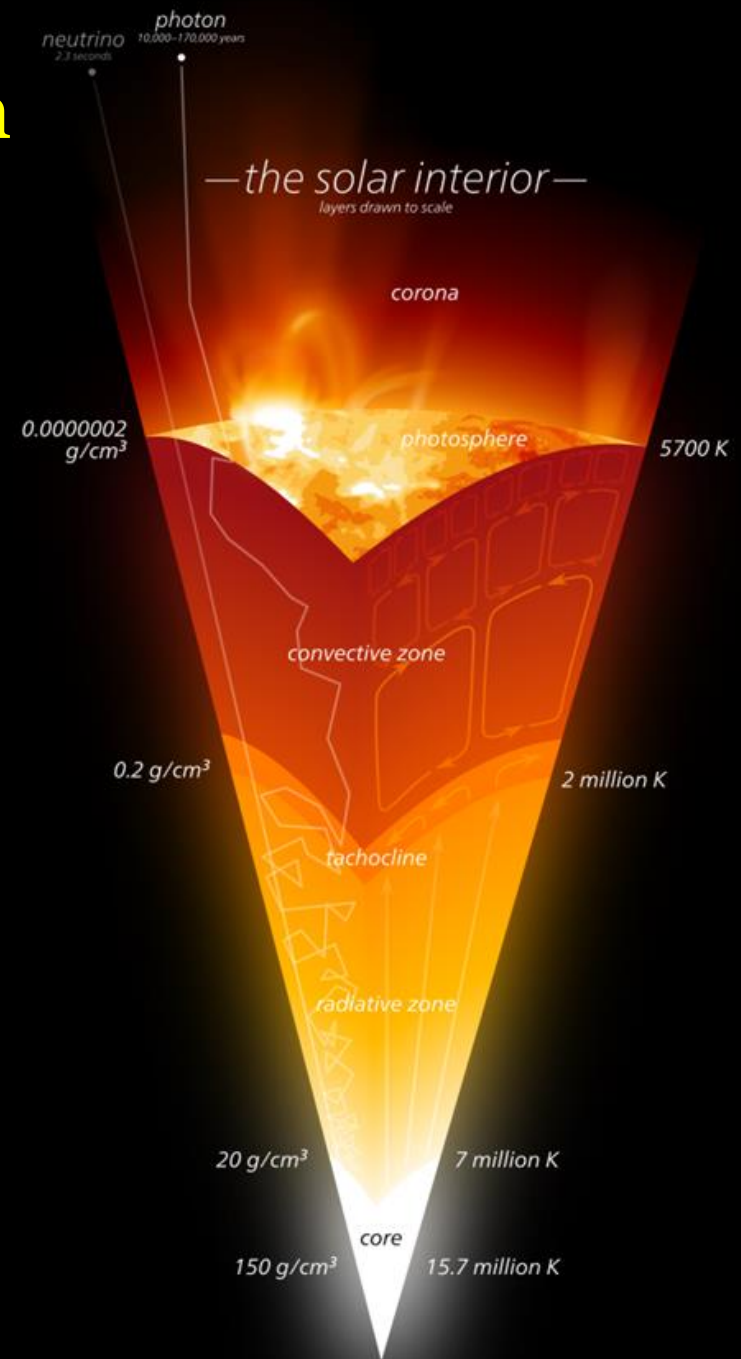
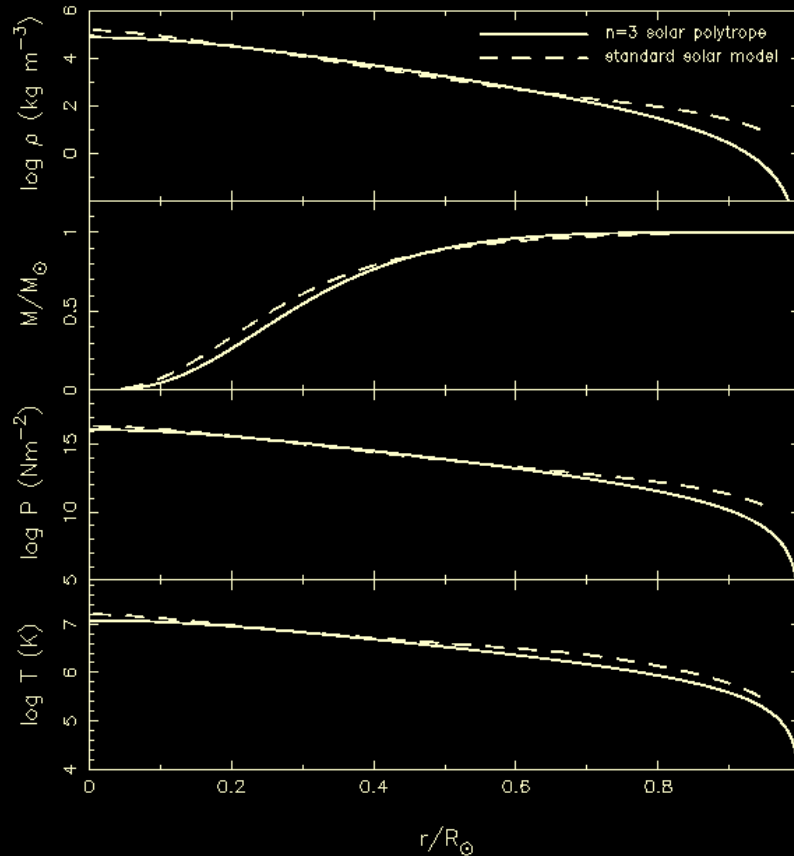


- The density of the Solar photosphere is  $\rho = 2.5 \times 10^{-7}$  g/cm<sup>3</sup> so the number of H atoms/cm<sup>3</sup> is  $n(\text{H}) = \rho/m_{\text{H}} = 1.5 \times 10^{17}$  cm<sup>-3</sup> where  $m_{\text{H}}$  is the mass of the H atom.
- Then the mean free path between collisions is  $\lambda = 1/(\sigma n(\text{H})) = 0.02$  cm. i.e. **atoms are confined within a limited volume of space in the photosphere at effectively fixed temperature** (relative to the temperature scale height).

In the upper layers,  $\rho \rightarrow 0$ ,  $\lambda \uparrow$ , radiation dominates over collisions  $\rightarrow$  **out of LTE**

# Mean Free Path in the Sun

Since the photosphere is the layer visible from Earth, photons must be able to escape freely into space. After  $\sim 10^{21}$  scatterings and re-emissions (thousands years!) from the centre. Calculate the time needed for a photon to escape!



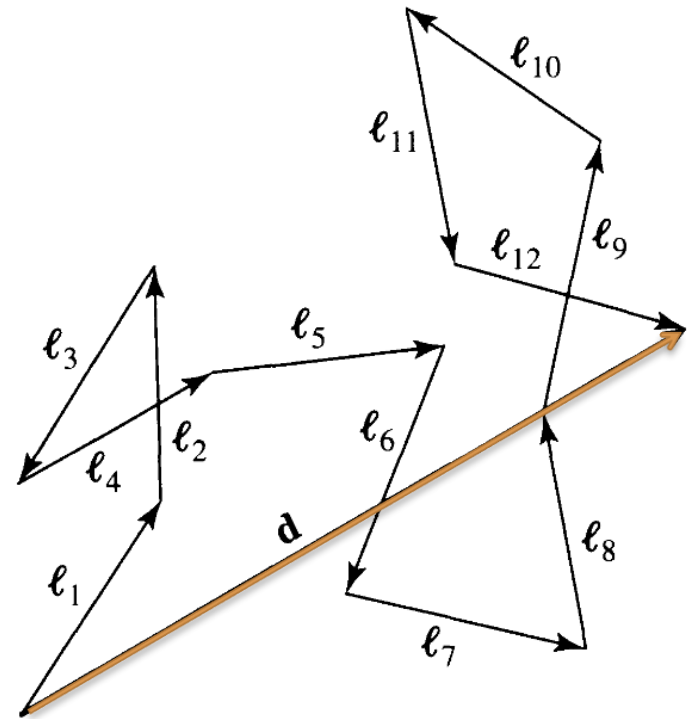
# The Random Walk

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- As the photons diffuse upward through the stellar material, they follow a haphazard path called a **random walk**. Figure shows a photon that undergoes a net vector displacement  $d$  as the result of making a large number  $N$  of **randomly** directed steps, each of length  $l$  ( $=\lambda$ , the mean free path).
- It can be shown that for a random walk, the displacement  $d$  is related to the size of each step,  $l$ , by

$$d = l\sqrt{N}.$$

- This implies that the distance from the centre of a star to the surface is  $D = l \times N$
- This is why the transport of energy through a star by radiation may be extremely **inefficient**.



# LTE

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As noticed above, **LTE** is described by:

1. **Maxwellian** electron and ion velocity distributions.
2. Excitation equilibrium given by **Boltzmann** equation.
3. Ionization equilibrium given by **Saha** equation.

Let's discuss them.

# Maxwellian velocity distribution

Gas pressure is produced by the motions of the gas particles. The velocities of particles are distributed in a Maxwellian distribution (also called the Maxwell–Boltzmann distribution).



$$\frac{dN(\mathbf{v})}{N_{\text{total}}} = \left(\frac{2}{\pi}\right)^{1/2} \left(\frac{m}{kT}\right)^{3/2} v^2 e^{-mv^2/2kT} d\mathbf{v}$$

Because the particles produce Doppler shifts, the line-of-sight velocities have a distribution that is an important special case for spectroscopy:

$$\frac{dN(v_R)}{N_{\text{total}}} = \left(\frac{m}{2\pi kT}\right)^{3/2} e^{-mv_R^2/2kT} dv_R$$

where  $v_R$  is the radial (line of sight) velocity component.

# Maxwellian velocity distribution

The maximum of the speed distribution occurs at  $v_1$  (the most probable velocity):

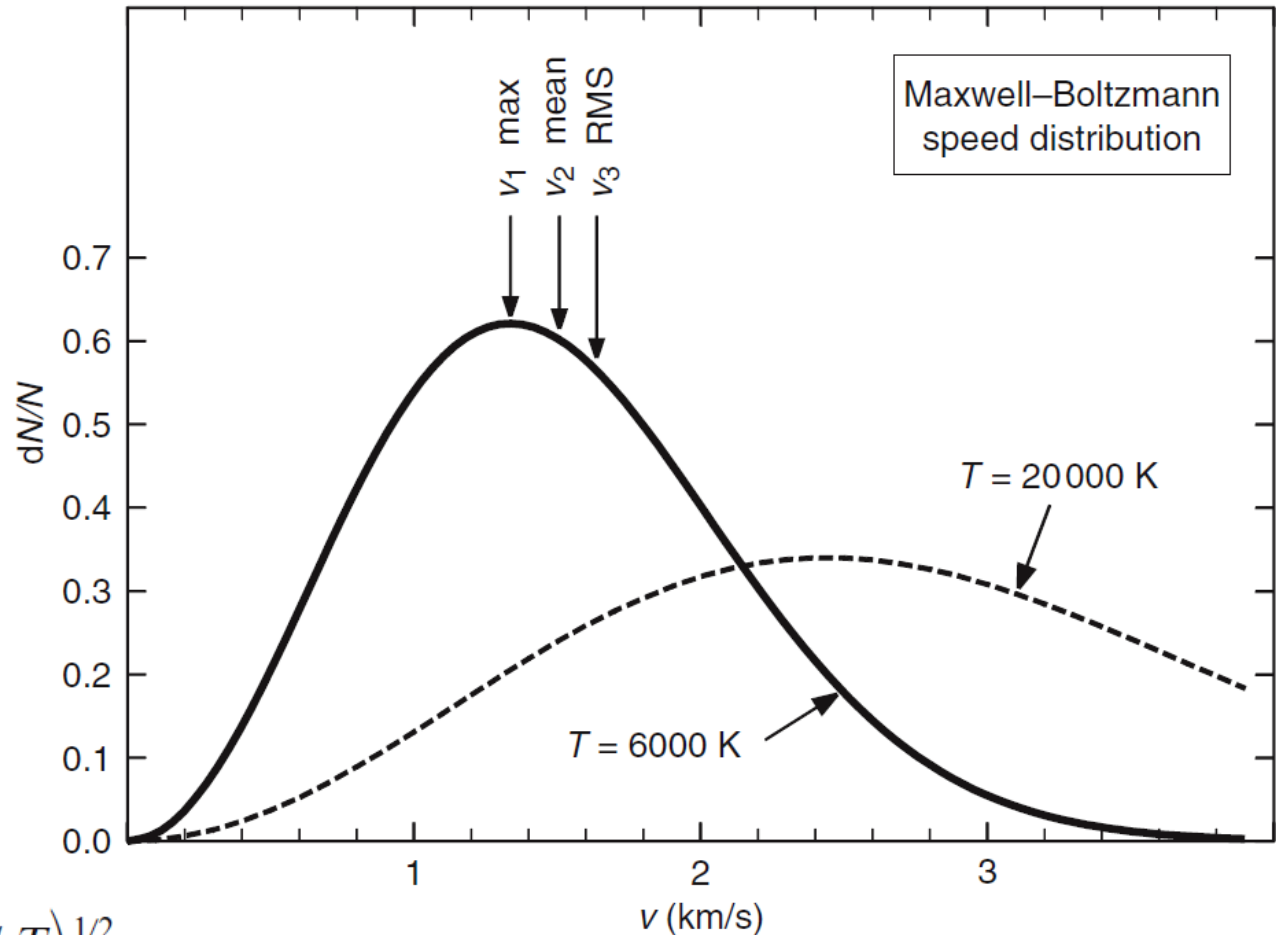
$$v_1 = \left( \frac{2kT}{m} \right)^{1/2}$$

The average velocity,  $v_2$ , is

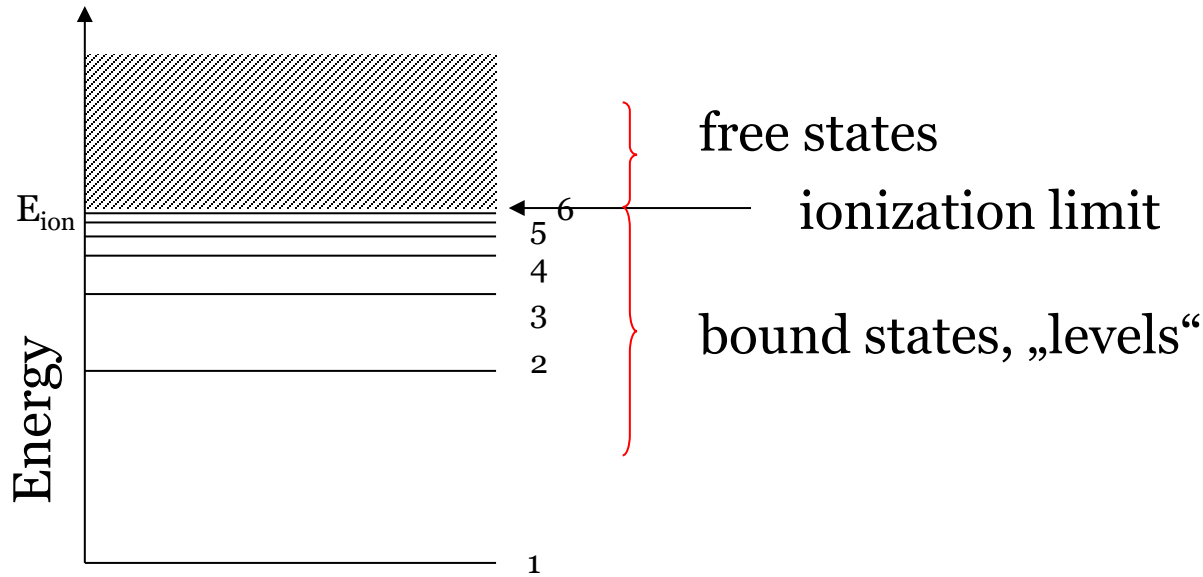
$$v_2 = \left( \frac{8kT}{\pi m} \right)^{1/2} = 1.128v_1$$

The root mean square velocity,  $v_3$ , is

$$v_3 = \left( \frac{3kT}{m} \right)^{1/2} = 1.225v_1$$



# Boltzmann equation



For excited levels  $u$  and  $l$  of e.g. atomic hydrogen, the **Boltzmann equation** relates their population (occupation) numbers as follows:

$$\frac{N_u}{N_l} = \frac{g_u}{g_l} e^{-(E_u - E_l)/kT}$$

where  $\chi_{ul} = E_u - E_l$  is the energy difference between the levels,  $g_u$  &  $g_l$  are their **statistical weights** (see next slide),  $k = 8.6174 \times 10^{-5}$  eV/K is the Boltzmann constant.

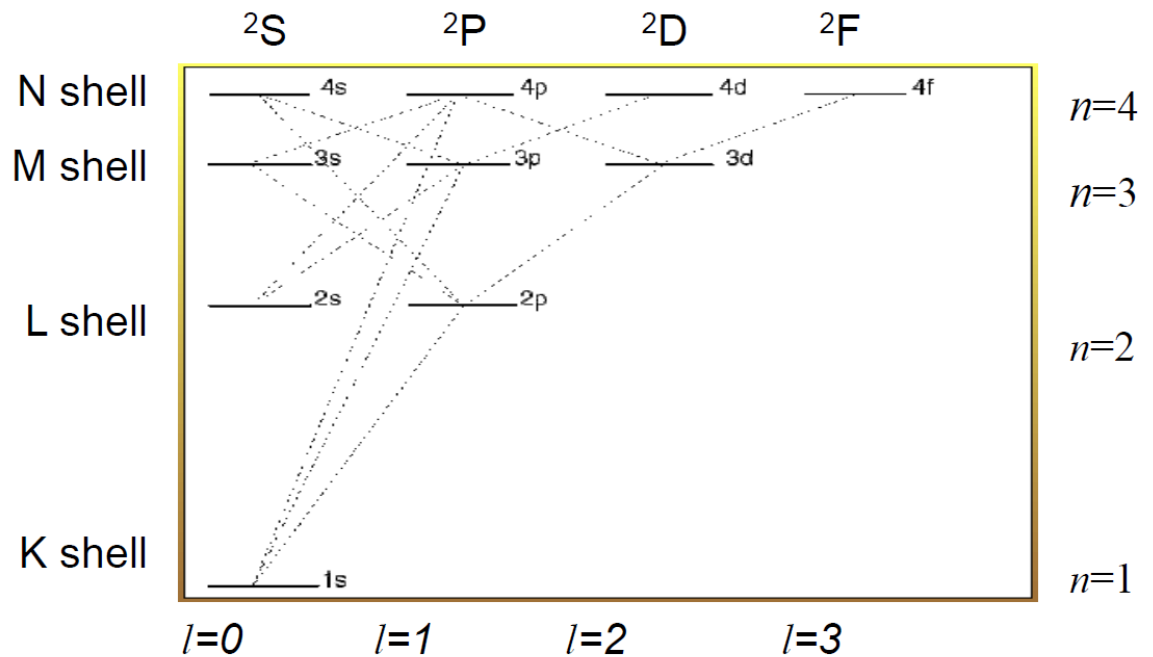
Boltzmann equation may also be written as:

$$\log \frac{N_u}{N_l} = \log \frac{g_u}{g_l} - \frac{5040}{T} \chi_{ul} (eV)$$

$$\Theta = 5040/T$$

In the “ground state” ( $n=1$ ), “first excited state” ( $n=2$ ), and all other excited states of H **more than one quantum state may have the same energy.**

The number of these for orbital  $n$  is the **statistical weight,  $g_n$** , (also known as the degeneracy).



# Hydrogen

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For H, orbital  $n$  has a statistical weight of  $g_n = 2n^2$  – the various permutations for  $n=1$  and  $n=2$  are listed here, with statistical weights  $g_1=2$  and  $g_2=8$ , respectively.

$l=0\dots n-1$  azimuthal quantum number  
 $m_l$ =magnetic quantum number with  $-l \leq m_l \leq l$   
 $m_s$ =electron “spin” angular momentum  $\pm 1/2$

Transition energy between levels  $u$  and  $l$ :

$$\chi_{ul} = C \left( \frac{1}{u^2} - \frac{1}{l^2} \right)$$

where  $C = \chi_{\text{ion}} = -13.6$  eV

Ground States $s_1$				Energy $E_1$
$n$	$l$	$m_l$	$m_s$	(eV)
1	0	0	+1/2	-13.6
1	0	0	-1/2	-13.6
First Excited States $s_2$				Energy $E_2$
$n$	$l$	$m_l$	$m_s$	(eV)
2	0	0	+1/2	-3.40
2	0	0	-1/2	-3.40
2	1	1	+1/2	-3.40
2	1	1	-1/2	-3.40
2	1	0	+1/2	-3.40
2	1	0	-1/2	-3.40
2	1	-1	+1/2	-3.40
2	1	-1	-1/2	-3.40



